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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael R. Hayden et al.

Art Unit: 1616

DEC 08 2000

Serial No.: 09/654,323

Examiner:

TECH CENTER 1600/2900

Filed: September 1, 2000

Title: Compositions and Methods for Modulating HDL Cholesterol and Triglyceride Levels

Assistant Commissioner For Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO 1449.

Submission of this statement is not a representation that a search has been made nor is information included in this statement an admission that the information is material to patentability.

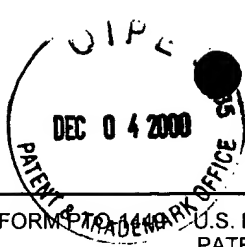
Under 35 USC 120, this application relies on the earlier filing date of application serial number 09/526,193, filed on March 15, 2000. Certain references were submitted to the Office in the prior application and, therefore, are not provided in this application. A copy of the previously submitted form PTO-1449 from the parent application is enclosed.

If there are any other charges, or any credits, please apply them to Deposit Account No. 03-2095.

Respectfully submitted,

Date: November 29, 2000 Mary Rox Scyzfara Reg. No. 36,268
for Paul T. Clark
Reg. No. 30,162

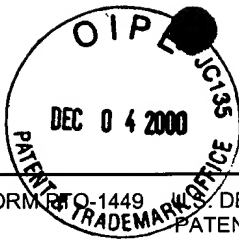
Clark & Elbing LLP
176 Federal Street
Boston, MA 02110
Telephone: 617-428-0200
Facsimile: 617-428-7045



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Sheet 1 of 2

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| SUBSTITUTE FORM PTO 1449 (MODIFIED) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | Attorney Docket No. | 50110/002005 | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | | | Serial No. | 09/526,193 | |
| | | | | Applicant | Michael R. Hayden et al. | |
| | | | | Filing Date | March 15, 2000 | |
| | | | | Group | | |
| (37 CFR §1.98(b)) | | | | IDS Filed | November 6, 2000 | |
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| Examiner's Initials | Patent Number | Issue Date | Patentee | Class | Subclass | Filing Date (If Appropriate) |
| FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION | | | | | | |
| Examiner's Initials | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation (Yes/No) |
| | WO 98/37764 | 03.09.98 | PCT | | | |
| | WO 98/51351 | 19.11.98 | PCT | | | |
| | WO 00/18912 | 06.04.00 | PCT | | | |
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| OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION) | | | | | | |
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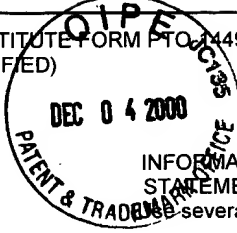


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Sheet 2 of 2

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| SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (MODIFIED) PATENT AND TRADEMARK OFFICE | | | | Attorney Docket No. 50110/002005 | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | | | Serial No. 09/526,193 | | |
| | | | | Applicant Michael R. Hayden et al. | | |
| | | | | Filing Date March 15, 2000 | | |
| | | | | Group | | |
| (37 CFR §1.98(b)) | | | | IDS Filed November 6, 2000 | | |
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| | GenBank Accession No. CAA10005 | | | | | |
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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) | | DEC 04 2000 PATENT & TRADEMARK OFFICE | | Serial No. 09/654,323 | | |
| | | | | Applicant Michael R. Hayden et al. | | |
| | | | | Filing Date September 1, 2000 | | |
| | | | | Group | | |
| | | | | IDS Filed November 29, 2000 | | |
| (37 CFR §1.98(b)) | | | | | | |
| FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION | | | | | | |
| Examiner's Initials | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation (Yes/No) |
| | WO 98/37764 | 03.09.98 | PCT | X | X | |
| | WO 98/51351 | 19.11.98 | PCT | | | |
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| SUBSTITUTE FORM PTO 1449 (MODIFIED)  INFORMATION DISCLOSURE STATEMENT BY APPLICANT (See several sheets if necessary) (37 CFR §1.98(b)) | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | Attorney Docket No. Serial No. Applicant Filing Date Group IDS Filed | 50110/004002 09/654,323 Michael R. Hayden et al. September 1, 2000 November 8, 2000 |
| OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION) | | | |
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| DJS | Willey <i>et al.</i> , "LXR, a nuclear receptor that defines a distinct retinoid response pathway," <i>Genes & Development</i> 9:1033-1045 (1995). | | |
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